



Engagement Schedule - 2022

Supervisory Engagement Schedule

	EVENTS	CENTRAL BANK AUDIENCE	DESCRIPTORS	TARGET AUDIENCE	FREQUENCY	DATES
1.	Sector Meeting with the Credit Unions	Inspector, Deputy Inspector et al	To discuss issues, trends and other thematic themes arising out of the Central Bank's supervisory work.	Senior Management of the Credit Unions	1	Q1 2022
2.	Bahamas Association of Compliance Officers (BACO) (Executive Board)	Inspector, Deputy Inspector et al	To gain a better perspective on the request for whistle blowing protections and how this work can be advanced. To better flesh out intended individualized engagement on a per institution basis.	Compliance Officers (COs) and Money Laundering Reporting Officers (MLROs)	1	Q2 2022
3.	Auditors Advisory Committee Meeting	Inspector, Deputy Inspector et al	To provide mutual updates and exchanges.	Accountants	2	Q2 2022 Q4 2022
4.	AML Risk Management Seminar/Conference	Inspector, Deputy Inspector et al	To provide awareness of AML Risk with an introduction of Cyber Security Risk.	Compliance Officers (COs) and Money Laundering Reporting Officers (MLROs)	1	June 2022

Supervisory Engagement Schedule (cont'd)

	EVENTS	CENTRAL BANK AUDIENCE	DESCRIPTORS	TARGET AUDIENCE	FREQUENCY	DATES
5.	Annual meetings with AIBT Executives	Inspector, Deputy Inspector et al	To discuss emerging trends, planned supervisory work and address general concerns and queries arising from the AIBT membership.	AIBT Executives	1	Q3 2022
6.	Annual Year Review: A. Home SFIs B. Host SFIs	Inspector, Deputy Inspector et al	To discuss, emerging trends and other supervisory observations. Top 10-12 SFIs.	CEOs, Board Chairman and Independent Directors.	1	Q2 2022
7.	Sector Meeting with the MTBs and Payment Service Providers	Inspector, Deputy Inspector et al	To discuss issues, trends and other thematic themes arising out of the Central Bank's supervisory work.	Senior Management of the SFIs	1	Q3 2022
8.	Annual Industry Briefing	Inspector, Deputy Inspector et al	General briefing with <u>all SFIs</u> on the outcomes of the Central Bank program of work for 2022 and strategic goals/plans for 2023.	Senior Officials and Compliance Officers	1	Q4 2022

Supervisory Engagement Schedule: Private

	EVENTS		DESCRIPTORS	TARGET AUDIENCE	FREQUENCY		
1.	Supervisor Meetings with the D-SIBs and In-camera Meeting with Internal Audit	Inspector, Deputy Inspector et al	To discuss the risk management, business strategy, prudential and other regulatory compliance trends and other thematic themes arising out of the Central Bank's supervisory work.	The CEO and Executive Management Team	2	April 2022 October 2022	
2.	Supervisory Meetings with the Senior Management of Credit Unions	Inspector, Deputy Inspector et al	To discuss the risk management, business strategy, prudential and other regulatory compliance trends and other thematic themes arising out of the Central Bank's supervisory work.	The CEO and Executive Management Team	1	Q2 2022	
3.	Special Call Meeting with Members of the Five Largest Credit Unions	Inspector, Deputy Inspector et al	To provide a "state of the union" update to the members on the credit union based on the supervisory work and financial standing of the credit union. Provide an overview of the Central Bank's expectation on the governance and oversight arrangements required for the credit union.	Members of the Credit Union	1	Before April 2022	
4.	Meetings with Individual MLROs	Inspector, Deputy Inspector et al	In-camera Meeting.	MLROs	1	TBA	
5.	D-SIBs Meeting (Board)	Inspector, Deputy Inspector et al	To discuss the Central Bank supervisory assessment of the SFI and the general views around risk management and governance.	Board Members	Once every 2 years	TBA	