



## Large Exposures Application Form

Name of Licensee:

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### Large Exposure Details

Name of Counterparty:

Group Name:

Related?

Y    N

Amount of Exposure (in 000s):

Currency:

US\$ Equivalent (in 000s):

Start Date:

Maturity:

Interest Rate%

Amount of other Exposures to the

Same Counterparty (in US\$000s):

Purpose of the Exposure:

If "Other" is selected, please state the purpose below:

Nature of the Counterparty's Business:

Type of Exemption Being Sought:

Place an "X" in the appropriate box.

Section VII(2) of the Guidelines for the Management of Large Exposures

- a)  Exposures to Zone B central governments and central banks
- b)  Exposures fully collateralized by marketable securities throughout their tenure
- c)  *Exposures supported by a parental guarantee (Please submit a copy of the parental guarantee with this application)*
- d)  Underwriting exposure which do not exceed 90 days duration
- e)  Off-balance sheet exposures to banks
- f)  Exposures to related parties where the licensee or a counterparty is fulfilling a treasury role or managing liquidity

Activity Level  
(US\$000s):

## Details of Security

Type:

Notional Amount:  
(US\$ 000s)

Market Value:  
(US\$ 000s)

Maturity:

Type:

Notional Amount:  
(US\$ 000s)

Market Value:  
(US\$ 000s)

Maturity:

Additional Information:

Signature \_\_\_\_\_

Name \_\_\_\_\_

Date \_\_\_\_\_

Signature \_\_\_\_\_

Name \_\_\_\_\_

Date \_\_\_\_\_

This form must be signed by the two senior officers of the licensee.